

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 5

Complete if Known

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

U.S. PATENT DOCUMENTS+

Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
MPEP	1	2,108,206	02-05-1938	Meeker	
	2	3,378,010	04-16-1969	Codling et al.	
	3	3,671,979	06-27-1972	Moulopoulos	
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	13	5,069,679	12-03-1991	Taheri	
	14	5,171,252	12-15-1992	Friedland	
	15	5,209,756	05-11-1993	Seedhom et al.	
	16	5,226,429	07-13-1993	Kuzmak	
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	26	5,478,309	12-26-1995	Sweezer et al.	
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	41	5,769,812	06-23-1998	Stevens et al.	

Examiner
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M. P. P.

Date
Considered

4/27/07

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Complete If Known

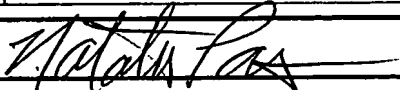
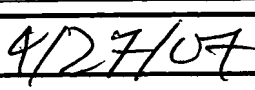
Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

U.S. PATENT DOCUMENTS*

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MJP	42	5,797,927		08-25-1998	Yoon	
	43	5,797,960		08-25-1998	Stevens et al.	
	44	5,810,847		09-22-1998	Laufer et al.	
	45	5,823,956		10-20-1998	Roth et al.	
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	66	6,312,447		11-06-2001	Grimes, Randall Y.	
	67	6,355,030		03-12-2002	Aldrich et al.	
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	69	US-2002/0087148		07-04-2002	Brock et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
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	76	GB	1598111		09-16-1981	Davis et al.		<input type="checkbox"/>

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Sheet **3** of **5****Complete if Known**

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Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

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	78	WO	81/00668		03-19-1981	Jansen		<input type="checkbox"/>
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	90	WO	00/03759		01-27-2000	Kuehn et al.		<input type="checkbox"/>
V	91	WO	01/28432	A1	04-26-2001	Allen et al.		<input type="checkbox"/>
	92	WO	01/26557	A1	04-19-2001	Grimes		<input type="checkbox"/>

Examiner
Signature*Natasha B...*Date
Considered

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 5

Complete if Known

Application Number	10/613,443
Filing Date	July 3, 2003
First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MP	93	Abe et al., "De Vega's annuloplasty for acquired tricuspid disease: Early and late results in 110 patients" Ann. Thorac. Surg. (1989) 48:670-676.	
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	95	Alvarez et al., "Repairing the degenerative mitral valve: Ten- to fifteen-year follow-up" J. Thorac. Cardiovasc. Surg. (1996) 112:238-247.	
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	108	Ricchi et al. "Linear segmental annuloplasty for mitral valve repair" Ann. Thorac. Surg. (1997) 63:1805-1806.	

Examiner Signature	<i>Natalie</i>	Date Considered	4/27/07
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Sheet 5 of 5

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Application Number	10/613,443
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First Named Inventor	GOLDFARB, ERIC A.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NRP	109	Tager et al., "Long-term follow-up of Rheumatic patients undergoing left-sided valve replacement with tricuspid annuloplasty—Validity of preoperative echocardiographic criteria in the decision to perform tricuspid annuloplasty" Am. J. Cardiol. (1998) 81:1013-1016.	
	110	Uchida et al., "Percutaneous cardiomyotomy and valvulotomy with angioscopic guidance" Am. Heart J. (1991) 121:1221-1224.	
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Examiner
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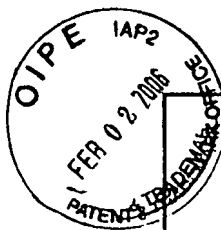
Natalie Pava

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PTO/SB/08A (07-05)⁶

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		Filing Date	July 3, 2003		
		First Named Inventor	GOLDFARB, ERIC A.		
		Art Unit	Unassigned		
		Examiner Name	Unassigned		
Sheet	1	of	2	Attorney Docket Number	020489-000120US

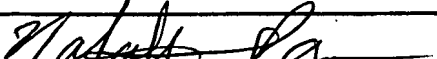
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NAP	AA	US-5,741,280	04-29-1998	Fleenor	
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	AC	US-6,562,037	02-12-1998	Paton et al.	
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Examiner Signature	<i>Natasha Pera</i>	Date Considered	4/27/07
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		Country Code ²	Number ⁴	Kind Code ⁵ (if known)				
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		Art Unit	3731
		Examiner Name	POUS, NATALIE R
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NRP	1	US-5,226,911	7/13/1993	Choo et al.	
	2	US-5,234,437	8/10/1993	Scpetka	
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	5	US-5,312,415	5/17/1994	Palermo	
	6	US-5,350,397	9/27/1994	Palermo et al.	
	7	US-5,690,671	11/25/1997	McGurk et al.	
	8	US-5,719,725	2/17/1998	Nakeo	
	9	US-6,077,214	8/20/2000	Mortier et al.	
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/613,443		
		Filing Date	July 3, 2003		
		First Named Inventor	GOLDFARB, ERIC		
		Art Unit	3731		
		Examiner Name	POUS, NATALIE R		
Sheet	2	of	5	Attorney Docket Number	020489-000120US

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Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
NPR	36	2002/0158528	10/24/2002	Hlavka et al.	
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
NRP ↓	95	WO	01/18881		12/12/1991	Frederick Walker		<input type="checkbox"/>
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				Art Unit	3731
				Examiner Name	POUS, NATALIE R
				Attorney Docket Number	020489-000120US
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Country Code ²	Number ⁴	Kind Code ⁵ (if known)				
NPR	98	WO	01/54618		8/2/2001	Langberg et al.		
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				Filing Date	July 3, 2003
				First Named Inventor	GOLDFARB, ERIC
				Art Unit	3731
Examiner Name	POUS, NATALIE R				
Sheet	5	of	5	Attorney Docket Number	020489-000120US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MRP	127	BERNAL et al., "The 'Valve Racket': a new and different concept of atrioventricular valve repair," Eur. J. Cardio-thoracic Surgery 29:1026-29 (2006)	<input type="checkbox"/>
J	128	DERWENT citing German language patent EP 684012 published 11/12/95 for: "Thread for constructing surgical seam has flexible section with two ends, with lower fastening part on thread first end having hollow cylinder with continuous hole through which surgical needle threads" 2 pgs.	<input type="checkbox"/>
	129	DERWENT citing Japanese language patent JP 11089937 published 6/4/99 for: "Catheter for mitral regurgitation test - Includes jet nozzles provided on rear side of large diametered spindle shaped portion attached to end of narrow diametered tube" 1 pg.	<input type="checkbox"/>
	130	FILSOULI et al., "Restoring Optimal Surface of Coaptation With a Mini Leaflet Prosthesis: A New Surgical Concept for the Correction of Mitral Valve Prolapse," Int'l. Soc. for Minimally Invasive Cardiothoracic Surgery 1(4):186-87 (2006).	<input type="checkbox"/>
	131	LANGER et al., "Posterior mitral leaflet extensions: An adjunctive repair option for ischemic mitral regurgitation?," J. Thorac. Cardiovasc. Surg. 131:868-77 (2006).	<input type="checkbox"/>

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